

1479 U.S. PTO
04/01/98

Attorney Docket No. 72869/EVANS

PATENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

The patent application of

Inventor(s): DAVID A. EVANS

For: CAPACITOR

is attached for filing including:

- [X] A verified statement to establish small entity status pursuant to 37 CFR 1.9 and 37 CFR 1.27.
- [X] An assignment of the invention to Evans Capacitor Company Incorporated and an assignment transmittal.
- [X] An Information Disclosure Statement.
- [X] Four (4) sheets of drawings.
- [X] This application is being filed with a declaration.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	////////	////////
TOTAL CLAIMS	19-20=	0
INDEP CLAIMS	4 - 3=	1
MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

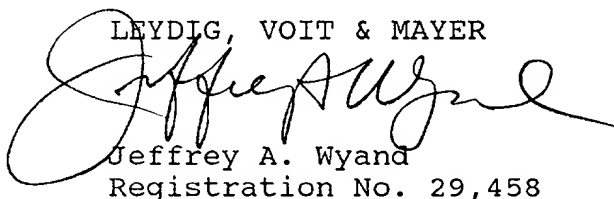
SMALL ENTITY	
RATE	FEE
////////	\$395
x11=	\$
x41=	\$ 41
+135=	\$
TOTAL	\$436

OTHER THAN A SMALL ENTITY	
RATE	FEE
////////	\$ 790
x22=	\$
x82=	\$
+270=	\$
TOTAL	\$

- [X] Small entity status of this application pursuant to 37 CFR §§1.9 and 1.27 has been previously established.
- [X] A check in the amount of \$476.00 in payment of the filing and assignment recordation fees is enclosed.
- [X] The Commissioner is authorized to charge any additional filing fees required pursuant to 37 CFR 1.16 and/or any patent application processing fees pursuant to 37 CFR 1.17 associated with the prosecution of the attached patent application and to credit any excess payment to Deposit Account No. 12-1216. A duplicate copy of this letter is enclosed.

Respectfully submitted,

LEYDIG, VOIT & MAYER



Jeffrey A. Wyand
Registration No. 29,458

Suite 300
700 Thirteenth Street, N.W.
Washington, D.C. 20005
Telephone: (202) 737-6770
Facsimile: (202) 737-6776
Date: April 1, 1998
JAW/tls

CAPACITOR

This disclosure is a continuation-in-part of United States Patent Application Serial Number
5 08/635,696, filed April 22, 1996, now U. S. Patent
5,737,181, which is a division of United States Patent Application Serial Number 08/514,145, filed August 11, 1995, now U. S. Patent 5,559,667, which is a division of United States Patent Application Serial
10 al Number 08/282,229, filed July 29, 1994, now U. S. Patent 5,469,325, which is a continuation-in-part of United States Patent Application Serial Number 08/035,224, filed March 22, 1993, now U. S. Patent 5,369,547. The disclosures of these prior patent
15 applications are incorporated herein by reference.

Field Of The Invention

The present invention concerns improved capacitors and particularly capacitors employing a pseudo-
20 capacitor-type cathode and a wet slug-type capacitor anode to achieve improved performance including increased energy storage density.

Background Of The Invention

25 Tantalum wet slug capacitors have long been known in the capacitor arts. An example of the structure of a wet slug tantalum capacitor is described in U. S. Patent 4,780,797. Fundamentally, as described there, the wet slug capacitor includes
30 a tantalum or tantalum-plated container that is the cathode or negative terminal of the electrolytic capacitor. An electrolyte and a porous sintered tantalum anode are disposed within the container. Tantalum forms a native oxide on exposed surfaces
35 that may be increased in thickness by anodic oxida-

tion. In the conventional wet slug capacitor, both the anode and cathode have insulating tantalum oxide coatings that are spaced apart from each other but are both in contact with the electrolyte, typically a sulfuric acid solution. Since sulfuric acid is electrically conductive, a conductor-insulator-conductor structure including metal, oxide coating, and electrolyte is present at both the anode and the cathode. Each of these conductor-insulator-conductor structures is itself a capacitor, i.e., an anode capacitor and a cathode capacitor. The capacitances of these electrode capacitors are to some degree determined by the thickness of the oxide layers formed on the anode and the cathode. Increasing the thickness of the anode oxide layer but not the cathode oxide layer, for example, by anodic oxidation, increases the breakdown voltage that a wet slug capacitor can withstand but reduces the overall capacitance of the capacitor. Typical breakdown voltages for a single capacitor can range from ten to one hundred twenty-five volts.

In the wet slug capacitor, the anode capacitance is effectively electrically connected in series with the cathode capacitance. As is well known, the net capacitance of two capacitors connected in series is smaller than the smaller of the capacitances of the two capacitors. Because the oxide layer at the anode of a wet slug capacitor is usually much thicker than the thickness of the oxide layer at the cathode, the anode capacitance of a wet slug capacitor is smaller than the cathode capacitance. For example, in a typical structure, the anode capacitance may be 3,100 microfarads and the cathode capacitance may be 8,700 microfarads. The resulting net capacitance of that capacitor is about

2,300 microfarads.

Although wet slug capacitors having useful capacitances and breakdown voltages can be readily produced, there is always a desire to increase the capacitance per unit volume of those capacitors, i.e., the energy storage density, without a reduction in the breakdown voltage. One proposed method of increasing the energy storage density of a wet slug capacitor is described in the cited patent. In that patent, a number of metallic films are deposited on the inside of the container of the capacitor. In particular, it is suggested that a film selected from the platinum group of metals, i.e., ruthenium, rhodium, palladium, and platinum, be alloyed with the tantalum of the container in segregated islands where the native oxide has been removed from the tantalum. Various techniques can be employed to deposit the platinum group metal, such as sputtering and electrolytic or electroless plating, followed by a heat treatment at a relatively high temperature, for example, from 925°C to 1,500°C. Preferably, a platinum group metal layer is subsequently deposited on the islands to form a spongy layer. The platinum group metals apparently improve the energy storage density of capacitors having the structure described in the patent.

In U. S. Patent 4,942,500, a platinum group metal is applied to a capacitor cathode by cladding, i.e., by rolling a very thin layer of the platinum group metal with the tantalum metal. Explosive bonding is also mentioned. In U. S. Patent 5,043,847, electrolytic co-deposition of a base metal and platinum group metal on the inside surface of a wet slug capacitor container is described. Addition of the platinum group metal by these techniques

is said to increase the energy storage density.

A different type of electrolytic capacitor, frequently referred to as an electrochemical capacitor, employs so-called pseudocapacitive electrodes.

5 These capacitors generally have metal oxide electrodes including a substrate of titanium or tantalum. Typically, a hydrated chloride of the metal, which may be ruthenium, is dissolved in isopropyl alcohol and applied to a heated titanium or tantalum
10 substrate. The heat drives off the solvent, resulting in the deposition of a metal chloride. That chloride is heated to a high temperature in air to convert the metal chloride to an oxide. For example, the metal chloride film may be heated to about
15 250°C for approximately one-half hour to completely remove the solvent and to drive off water. Thereafter, in a second elevated temperature step, for example, at approximately 300°C, a high surface area film of the oxide of the metal, for example, ruthenium oxide, is formed on the substrate. The oxide
20 film is highly porous, meaning that it has a very high surface area. An electrochemical capacitor includes such electrodes as the anode and as the cathode, typically with a sulfuric acid solution
25 electrolyte. The electrical charge storage mechanism is not yet fully understood. Electrical charges may be stored on the very large surface areas of the two electrodes, providing the capacitance characteristic. Electrical charges may be stored by a
30 reversible change in the oxidation state of a material in an electrode. No matter what the charge storage mechanism is, it is substantially different from the charge storage mechanism of a wet slug capacitor electrode.

35 Although electrochemical capacitors can provide

much higher energy storage densities than wet slug capacitors, the breakdown voltage of individual cell electrochemical capacitors is very low, typically only about one volt, i.e., essentially the dielectric breakdown voltage of the electrolyte. Even if electrochemical capacitors are connected in series, it is difficult to produce a practical capacitor with a breakdown voltage comparable to the breakdown voltages of wet slug capacitors. Thus, electrochemical capacitors have not found wide usage.

Summary Of The Invention

It is an object of the invention to provide an improved capacitor having a practical breakdown voltage and a high energy storage density.

According to one aspect of the invention, a capacitor comprises a cathode including a porous coating of an amorphous metal oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium, an anode spaced from the porous coating and including a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium and spaced from the porous coating, wherein the metals of the anode and cathode are different, and an electrolyte disposed between and in contact with the porous coating and the anode.

According to another aspect of the invention, a capacitor comprises a first metal body, a cathode comprising a porous coating including an amorphous non-crystalline oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manga-

nese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on the first metal body, an anode including a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium disposed on a second metal body opposite and spaced from the first metal body, wherein the metals of the anode and cathode are different, and an electrolyte disposed between and in contact with the porous coating and the anode.

10 In one aspect of the invention, a capacitor includes a first electrically conductive body; a cathode comprising a porous coating including an oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on the first electrically conductive body; a second electrically conductive body spaced from the porous coating; an anode including a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium disposed on the electrically conductive metal body opposite the first electrically conductive body; and an electrolyte disposed between and in contact with the porous coating and the anode.

25 According to yet another aspect of the invention, a capacitor includes a plurality of capacitor cells, each cell including a first metal body having opposed first and second surfaces; a cathode comprising a porous coating including an amorphous non-crystalline oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on the first

surface of said first metal body; an anode including
a metal selected from the group consisting of tan-
talam, aluminum, niobium, zirconium, and titanium
disposed on the second surface of the first metal
5 body; wherein the metals of the anode and cathode
are different, an electrolyte in contact with the
cathode opposite the first metal body wherein the
plurality of the capacitor cells are disposed in a
serial arrangement, the electrolyte of one cell con-
10 tacting the second surface of each first metal body
and a first surface of the first metal body of the
next adjacent cell; a second metal body having first
and second opposed surfaces disposed at one end of
the serial arrangement and including a cathode com-
15 prising a porous coating including an amorphous non-
crystalline oxide of at least one metal selected
from the group consisting of ruthenium, iridium,
nickel, rhodium, rhenium, cobalt, tungsten, manga-
nese, tantalum, molybdenum, lead, titanium, and
20 cathode are different, platinum, palladium, and os-
mium disposed on one side of the second metal body
and opposite an anode of a first metal body in the
serial arrangement, but no anode, and functioning as
a cathode of the capacitor and an electrolyte dis-
25 posed between and contacting the porous coating of
the second metal body and the anode of the opposite
first metal body in the serial arrangement; and a
third metal body having first and second opposed
surfaces and disposed at the other end of the serial
30 arrangement and including an anode comprising a met-
al selected from the group consisting of tantalum,
aluminum, niobium, zirconium, and titanium disposed
on one side of the third metal body and opposite a
porous coating of a first metal body in the serial
35 arrangement, but no porous coating, and functioning

as an anode of the capacitor and an electrolyte disposed between and contacting the anode of the third metal body and the porous coating of the opposite first metal body in the serial arrangement.

5 In the invention, one electrode of a capacitor is a wet slug capacitor-type electrode, for example, the anode. The other electrode is an electrochemical-type capacitor electrode employing a porous coating including an amorphous non-crystalline oxide
10 of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium. For the same capacitance value, the cathode
15 of a capacitor according to the invention is reduced in size compared to a conventional wet slug capacitor electrode. If the volume of the conventional wet slug capacitor for a particular capacitance is maintained, then the anode of a capacitor according
20 to the invention can be increased in size relative to the conventional wet slug capacitor anode, increasing the capacitance and the energy storage density as compared to a conventional wet slug capacitor. In addition, if the capacitance of a conventional
25 wet slug capacitor is maintained, then the volume of a corresponding capacitor according to the invention can be made smaller than the conventional wet slug capacitor, increasing energy storage density. A high breakdown voltage, characteristic of the
30 conventional wet slug capacitor, is obtained in the invention because of the presence of the conventional wet slug capacitor anode while realizing increased energy storage density because of the presence of the pseudocapacitor cathode.

35

Brief Description Of The Drawings

Figure 1 is an exploded view of a capacitor according to an embodiment of the invention;

5 Figure 2 is a cross-sectional view of an alternative embodiment of a capacitor according to the invention;

Figure 3 is a cross-sectional view of a single cell prismatic capacitor according to an embodiment the invention;

10 Figure 4 is a cross-sectional view of a multiple cell prismatic capacitor according to an embodiment of the invention;

15 Figure 5 is a cross-sectional view of an alternative embodiment of a capacitor according to the invention;

Figure 6 is a cross-sectional view of a single cell prismatic capacitor according to an embodiment the invention;

20 Figure 7 is a cross-sectional view of a multiple cell prismatic capacitor according to an embodiment of the invention;

Figure 8 is a cross-sectional view of an alternative embodiment of a capacitor according to the invention; and

25 Figure 9 is a cross-sectional view of a multiple cell prismatic capacitor according to an embodiment of the invention.

Description Of Preferred Embodiments

30 Figure 1 is an exploded view of an embodiment of the invention and Figure 2 is a cross-sectional view of another embodiment of the invention. Like reference numerals are used in those and all other figures to designate the same elements.

35

In Figure 1, a capacitor according to the invention includes a metal container 1, typically a tantalum container. However, metals other than tantalum may be used in embodiments of the invention.

5 Typically, the container 1 is the cathode of the capacitor and includes a lead 2 that is welded to the container. An end seal, a cap 3, includes a second lead 4 that is electrically insulated from the remainder of the cap by a feedthrough 5 seen in

10 Figure 2. In the assembled capacitor, the cap 3 is bonded to the container 1 by conventional means, for example, by welding. The insulating feedthrough 5 of the lead 4 is likewise conventional and may include a glass-to-metal seal through which the lead 4

15 passes. A conventional porous sintered tantalum anode 6 with an anodic oxide film coating is electrically connected to the lead 4 and disposed within the container 1. Direct contact between the container 1 and the anode 6 is prevented by electrical-

20 ly insulating spacers 7 and 8 within the container 1 that receive the ends of the anode 6. The retaining insulators 7 and 8 are conventional.

In the embodiment of the invention shown in Figure 1, a metal body 11, such as a metal foil, is

25 disposed within and is in electrical communication with the metal container 1. The communication may be established, for example, by welding the metal body to the inside surface of the metal container 1. The inside surface of the metal body 11 includes a

30 porous coating 12 including a metal oxide. The porous coating preferably includes an oxide of a first metal. The first metal is selected from the transition metals in Group VIII of the Periodic Table of Elements that have at least two stable

35 oxidation states in the electrolyte used in the ca-

pacitor. The metal is particularly selected from the group consisting of ruthenium, iridium, nickel, rhodium, platinum, palladium, and osmium. The porous coating may also include an oxide of a second metal selected from the group consisting of tantalum, titanium, and zirconium. The second metal oxide is not believed to be electrically active but increases the surface area of the porous coating and/or extends the mixture used to form the porous coating. The second metal oxide component is not essential in the capacitor cathode. In a preferred embodiment of the invention, the porous coating includes oxides of ruthenium and tantalum.

In the embodiment of the invention shown in Figure 2, a porous coating 13, i.e., the same as the porous coating 12 of the embodiment of Figure 1, is formed directly on the inside surface of the metal container 1. The metal body 11 employed in the embodiment of the invention shown in Figure 1 is thus eliminated, reducing costs.

In the capacitors of Figures 1 and 2, each capacitor includes two electrodes. One of the electrodes, the anode 6, is preferably a conventional sintered porous tantalum anode with an oxide film coating of the type used in conventional wet slug tantalum capacitors. In addition, the anode may be made of another one of the so-called valve metals, i.e. aluminum, niobium, zirconium, and titanium. The other electrode includes the metal container 1, the metal body 11 with the porous coating 12 or the porous coating 13 on the container 1 and is similar to one of the electrodes used in a pseudocapacitor. As a result of that combination, advantages of a pseudocapacitor and of a wet slug capacitor are achieved without the disadvantages of either of

those known capacitor structures. The cathode capacitance is greatly increased over the cathode capacitance of a conventional wet slug capacitor because of the very large surface area and the very small effective "plate separation". (Plate separation refers to modeling of the cathode as a theoretical parallel plate capacitor with two plates having areas A separated from each other by a distance d.) Because of the increased capacitance contributed by the pseudocapacitor cathode for a particular volume, the cathode can be reduced in size, providing space for an anode of increased size, larger than the wet slug capacitor anode of a conventional wet slug capacitor having the same capacitance. Alternatively, for the same volume as a conventional wet slug capacitor, a much larger capacitance can be achieved.

As already described with respect to one example of a conventional wet slug capacitor, a sintered anodic oxide coated tantalum anode has a capacitance of 3,100 microfarads. A pseudocapacitor cathode replacing the cathode of the conventional tantalum capacitor (having a capacitance of 8,700 microfarads) has a capacitance of 0.2 farads. Since, as in the conventional apparatus, these electrode capacitances are electrically connected in series, in the capacitor according to the invention, the overall capacitance is calculated as 3,050 microfarads, an increase in capacitance of one-third over the conventional wet slug capacitor. Measured capacitances of capacitors in accordance with the invention confirm the accuracy of this calculation.

When a voltage is applied to a capacitor according to the invention, the voltage is divided across the oxide film coating the anode and the pseudocapacitor cathode. Because the capacitance of

the anode is much smaller than the capacitance of the pseudocapacitor cathode, the voltage applied to the capacitor naturally divides unequally across the electrodes. A large proportion of the applied voltage appears across the anode oxide film and not across the electrolyte. A much smaller proportion of the applied voltage appears across the pseudocapacitor electrode. As a result, a capacitor according to the invention can sustain a much higher voltage, i.e., has a much higher breakdown voltage, than a conventional pseudocapacitor. In other words, increased capacitance as observed in a pseudocapacitor is achieved in the invention without the disadvantage of the low breakdown voltage observed in those known capacitors. As well known in the art, the oxide film coating the valve metal anode, particularly a tantalum or aluminum anode, can be increased to a desired thickness, increasing the capacitor breakdown voltage, in an anodic oxidation process.

The porous coating, whether formed on a metal body or directly on the inside surface of a metal container of a capacitor according to the invention, is formed using conventional processes. Examples of methods of forming such porous coatings on metal bodies are described in numerous publications. For example, the formation of similar capacitor electrodes is described in U. S. Patent 4,766,522. Electrolysis cell electrodes including similar but very thick coatings are described in some of the examples appearing in U. S. Patent 3,632,498.

In a preferred process, hydrated ruthenium chloride ($\text{RuCl}_3 \cdot 3\text{H}_2\text{O}$) is dissolved in isopropyl alcohol to form a solution having a concentration of one to three percent. Preferably, an enhancing agent,

such as a chloride of tantalum, is added to the solution. A mixture having an atomic ratio of about one ruthenium atom to three tantalum atoms produces a higher capacitance film than do mixtures with different ratios of tantalum to ruthenium atoms. The rate of dissolution of the chlorides in alcohol can be increased by the addition of about 10 milliliters of hydrochloric acid per 100 milliliters of isopropyl alcohol. Titanium, nickel, and zirconium compounds may also be used in place of the tantalum chloride to improve performance of the capacitors according to the invention. While the preferred process employs chlorides because of their solubility, other inorganic and organic salts of the metals can also be employed in the formation of the porous coating.

Whether the substrate on which the porous coating is disposed is a metal body or the inside surface of a metal capacitor container, the substrate is preferably roughened before deposition of the coating to increase the adhesion of the solution subsequently applied to the substrate in forming the coating. The surface may be roughened by chemical treatment, for example, with sulfuric acid, hydrochloric acid, or oxalic acid, or by a mechanical process, such as sand blasting, although mechanical processes are not preferred over chemical treatments. The tantalum or titanium substrate is then heated to about 85°C and the solution is applied. The elevated temperature of the substrate results in rapid evaporation of the alcohol solvent, leaving the formerly dissolved chlorides in place as a film on the substrate.

After the formation of the metallic chloride film, the substrate is heated to a temperature of

about 250°C in air to drive off any remaining solvent and the water contained in the hydrated chloride. In addition, some of the chlorine may be driven off at that time. The heating continues in
5 air for about one hour after which the temperature is increased to approximately 300°C for a time sufficient to oxidize the metal components of the coating. For example, the oxidizing treatment in air may continue for about two hours. The resulting
10 coating is insoluble in water and sulfuric acid, has pores as small as 5 nanometers, and has a surface area of up to about 120 square meters per gram.

The completed capacitor includes a fluid electrolyte 14, shown in Figure 2, disposed between and
15 in contact with both of the electrodes to provide a current path between the electrodes 6 and 11 or 6 and 13. The fluid electrolyte may be any of the conventional electrolytes employed in capacitors, most typically a sulfuric acid solution when the
20 anode is tantalum. In other constructions, different electrolytes are used. For example, when the anode is aluminum, an ammonium salt dissolved in a non-aqueous solvent, such as glycol or a glycol-like solvent, may be employed because sulfuric acid at-
25 tacks aluminum. When the cathode is nickel, then an aqueous solution of potassium hydroxide is preferred over sulfuric acid as an electrolyte. As is conventional, the materials of construction of the capacitor that are contacted by the electrolyte are chosen
30 to be impervious or extremely resistant to the effects of the particular electrolyte employed.

The embodiments of the capacitor according to the invention shown in Figures 1 and 2 are similar in shape and arrangement to conventional tantalum
35 wet slug capacitors. Other embodiments of the in-

vention resemble the "jelly roll" structure of conventional foil capacitors. In that configuration, the anode slug is replaced by a conventional foil of tantalum or aluminum, or any of the other valve metals, wound in jelly roll fashion as the anode. Some decrease in capacitance is experienced in replacement of the anode slug with the rolled foil. However, an increased capacitance over the conventional jelly roll foil capacitors is achieved in the invention because of the presence of the cathode including the porous coating.

Capacitor cells of still different geometrical configuration according to embodiments of the invention can be easily made. The capacitors cells can be interconnected in series to form a capacitor having a higher breakdown voltage than an individual cell. An example of an embodiment of the invention including a single cell is shown in Figure 3. A capacitor according to an embodiment of the invention and including a plurality of cells arranged and interconnected serially is shown in Figure 4.

In Figure 3, a capacitor according to an embodiment of the invention includes opposed metal bodies 21 and 22, preferably thin metal plates or foils. The plates are separated by an insulating sealant 23 that is adhered to both of the plates 21 and 22. Figure 3 (and Figure 4) is a sectional view and the capacitor can have any desired shape in plan. For example, if the capacitor has a circular shape in plan view, then preferably the sealant 23 is a unitary, annular body adhered to both plates, sealing and forming a sealed package. If the capacitor has other shapes in plan view, it is still preferred that the sealant 23 be a unitary body following the perimeter of the plates, i.e., the capaci-

tor, defining a closed volume between the two plates 21 and 22. The sealant may extend beyond the plates. The sealant may be a laminate of resin layers that are thermally sealed to each other.

5 The plates 21 and 22 are preferably tantalum, although other metals, such as titanium, may be employed. A porous tantalum anode 24 or an anode of another valve metal is formed on plate 21 and disposed within the sealed volume defined by the plates
10 21 and 22 and the sealant 23. The inside surface of the plate 22 is coated with a porous coating including a metal oxide prepared as described above, thereby forming a pseudocapacitor cathode. In order to avoid direct contact between the anode 24 and the
15 porous coating on the inside surface of the plate 22, a spacer is interposed between the anode 24 and the plate 22. Most preferably, the spacer includes a plurality of masses of an electrically insulating material disposed between and contacting the anode
20 24 and the plate 22. A fluid electrolyte 26, such as a solution of sulfuric acid, potassium hydroxide, or an ammonium salt, is present between and in contact with the anode 24 and the plate 22. The electrolyte 26 directly contacts the spacer 25 so that
25 the spacer material must be impervious to the electrolyte.

 In the capacitor of Figure 3, the plate or metal body 22 has a porous coating including a metal oxide formed on one surface in the same manner that
30 the porous coating is formed on metal body 11 or on the inside surface of the container 1 of the embodiments of the invention already described. After the formation of that porous coating, the spacer 25 is deposited on the porous coating. The individual
35 spacing masses may be formed by printing, such as

silk screening, while dissolved in a solvent that is subsequently removed, for example, by the application of heat, or by the deposition of individual masses of a melted electrically insulating material.

5 If the electrolyte is sulfuric acid, then the spacer may be made of polyolefin, polyethylene, or polypropylene, for example. Other kinds of spacers can be employed instead of the individual masses illustrated in Figure 3. For example, a glass fiber paper,
10 plastic fibers, or an ion-permeable material, such as NAFION, may be inserted between the anode 24 and the plate 22 to prevent direct contact of the electrodes. NAFION is a trademark of DuPont de Nemours Co. of Wilmington, Delaware for a fluoropolymer containing channels of sulfonate groups that are permeable to cations, such as hydrogen ions. The spacing masses may be located on the anode 24 rather than on the porous coating or may not be fixed to either electrode.

20 The plate 21, which is preferably the same size and shape as the plate 22, is masked over the area where the sealant 23 will be adhered. Plate 21 may be a thin metal foil, for example, 0.001 inch (25 micrometers) in thickness. Tantalum powder held together by a binder, such as stearic acid, if needed,
25 is applied to the plate 21 under pressure. The tantalum powder binder is driven off, for example, by heat, and the powder is sintered in an inert atmosphere to produce a high surface area porous anode.
30 Finally, that anode is anodically oxidized to form tantalum oxide over the surface of the sintered powder to a desired thickness. Similar anodes may be made of aluminum, niobium, zirconium, and titanium.

The plates 21 and 22 are then brought together
35 with the spacer 25 preventing direct contact of the

plate 22 and the anode 24. The spacer masses may be about 0.001 inch (25 micrometers) high, 0.005 inch (125 micrometers) in diameter, and spaced about 0.050 inch (1.25 millimeters) apart in a regular pattern. In order to form a stable assembly, the sealant 23 is then applied at the periphery of the two plates 21 and 22 to form a closed package retaining the fluid electrolyte 26. A hot melt polyolefin or epoxy may be employed as the sealant 23. Subsequently, the sealant can be broken or opened so that the fluid electrolyte 26 can be injected into the package. The interior of the package may be evacuated in advance of injecting the electrolyte. After the fluid electrolyte is in place, the sealant is resealed with additional sealant material. Leads can be easily attached to the plates 21 and 22 before, during, or after assembly of the capacitor.

A capacitor according to an embodiment of the invention and including a plurality of individual capacitor cells 30 interconnected in series is illustrated in Figure 4. The serial arrangement of the cells 30 is terminated at opposite ends of the arrangement by plates 21 and 22, respectively. With the exception of those two plates, which are identical to the corresponding elements of the capacitor shown in Figure 3, the remainder of the capacitor units in the capacitor of Figure 4 are identical cells 30. Since plate 22 on which spacers 25 are disposed and plate 21 on which the anode 24 is formed have already been described, no repetition of the description of those elements is required.

Each cell 30 includes a bipolar metal plate or metal body 31. On one side of the metal body 31, a porous coating including a metal is formed in accordance with the preceding description. For example,

a porous ruthenium oxide film containing tantalum oxide may be present on one side of the plate 31. Electrically insulating spacing masses 32 are disposed in a pattern on that porous oxide coating. A porous tantalum anode 33, or an anode of a different material, is formed on the opposite side of the plate 31, completing the bipolar element of the cell 30. Generally, the anode 33 is formed first while a mask is present on the opposite side of the plate 31 to prevent the formation of excessive tantalum oxide. After the anode is completed, the mask is removed and the oxide coating is formed on the side of the plate 31 opposite the anode. Thereafter, the spacer masses 32 are formed on the oxide coating. Finally, the sealant 34 is applied to one side of the plate 31, completing the cell 30. The order of the fabrication steps can be changed and the spacing masses can be formed on the anode rather than on the oxide coating provided appropriate changes are made in the cells at the ends of the serial arrangement.

The cells are then assembled by attaching the sealant to the plates of adjacent cells. The sealant may extend beyond individual cells and may merge into a single body along the whole length of the capacitor. The sealant may include laminated resin layers that are heat sealed together beyond the edges of the plates 31. After the serial arrangement of the unit cells is assembled, the end units, i.e., the plate 21 with the attached anode 24 and the plate 22 with the attached spacer masses 25 on the porous coating, are applied to opposite ends of the serial arrangement of identical unit cells to complete the mechanical assembly of the capacitor. A fluid electrolyte is added to each of the cells through openings made in the sealant. The volume

occupied by the electrolyte may be evacuated before the electrolyte is introduced. After injection of the electrolyte, the sealant is again closed, completing the capacitor.

5 When a capacitor like the embodiment shown in Figure 4 includes a number of cells, it is not always possible to produce cells having identical characteristics. Particularly in capacitors according to the invention, where one electrode of each
10 cell is significantly different in one or more of capacitance, resistance, and leakage current from the other electrode of that cell, excessive voltages may be applied to various cells. In order to avoid application of excessive voltages, a resistor, such
15 as the resistors 35 illustrated in Figure 4, can be connected across the plates of each cell. If resistors are so employed, one such resistor should be connected across each pair of metal plates in the entire serial arrangement rather than the partial
20 connection shown in Figure 4 which is shown only for illustrative purposes. The resistors should each have essentially the same resistance and provide a current path carrying substantially more current, for example, larger by a factor of ten, than the
25 leakage current that flows through the capacitor. Although the resistors 35 are illustrated as discrete elements in Figure 4, distributed resistors between adjacent capacitor plates can be provided by employing a sealant with a desired, finite resistivity. Alternatively, an electrically conducting
30 paint can be applied to the sealant in one or more stripes interconnecting the capacitor plates, i.e., electrodes, of the capacitor embodiments of Figures 3 and 4.

35

Figure 5 illustrates an alternative embodiment of a capacitor according to the invention. The structure of Figure 5 is identical to the structure of Figure 2 with the exception of the electrolyte.

5 In the structure of Figure 2, the electrolyte 14 is a fluid. In the capacitor embodiment of Figure 5, the electrolyte 14' is a solid electrolyte, such as polypyrrole, NAFION (an ion permeable, electron impermeable commercially available material), and poly-
10 aniline, and including semi-solids, such as the aqueous electrolyte solutions already described with silica added to form a gel. Similarly, Figure 6 shows in cross-section another capacitor embodiment according to the invention. Although a similar capacitor embodiment shown in Figure 3 includes a flu-
15 id electrolyte 26, in the embodiment of Figure 6, the electrolyte 26' is a solid electrolyte. The electrolyte 26' acts as a spacer, eliminating the need for the spacing masses 25 employed in the embodiment of Figure 3. In addition, the presence of
20 the solid electrolyte 26' eliminates the need for the sealant or container 23 separating the plates 21 and 22 and retaining the fluid electrolyte since the solid electrolyte does not flow nor evaporate. In
25 other words, in a capacitor according to the invention employing a solid electrolyte, no container is necessary.

Figure 7 illustrates a capacitor made by laminating multiple cells of the type individually illustrated in Figure 6 and employing a solid electro-
30 lyte 26'. Although each capacitor cell 30 includes a sealant 34 defining a container, as discussed above, a container is not necessary when the capacitor cell includes the solid electrolyte 26' disposed
35 between each electrode pair including an anode and a

cathode. The solid electrolyte also functions as a spacer, keeping those electrodes apart, thereby preventing short-circuiting.

Figure 8 is a sectional view of still another embodiment of the capacitor according to the invention. The capacitor of Figure 8 includes opposed metal plates or foils 22. The porous coating 24 of one or more metal oxides functioning as a cathode is disposed on one of the surfaces of each of the metal plates or foils 22. The porous coatings 24 face each other. A conventional valve metal capacitor anode 6, such as anodically oxidized tantalum, is disposed between and spaced from the porous coatings 24. The space between the porous coatings 24 and the anode 6 is filled with an electrolyte. If that electrolyte is a fluid 26, as shown in Figure 8, any of the fluid electrolyte mixtures described above, such as aqueous solutions of sulfuric acid or potassium hydroxide or ammonium salts dissolved in glycol may be employed as the electrolyte. The choice of the electrolyte, as in the other capacitor embodiments, depends upon the composition of other materials employed in the capacitor. The materials chosen must be compatible so that no element is unduly attacked by another material that is present, thereby shortening the life of the capacitor. When a liquid electrolyte is used, it is desirable to include spacers 25 between the porous coatings 24 and the anode 6 to avoid direct contact. The same kinds of spacers as described above can be used, e.g., polymeric masses, NAFION films, or another insulating material that resists attack by the electrolyte, in order to maintain the desired spacing between the oxide coatings and the anode. Alternatively, the electrolyte can be a solid electrolyte 26', such as

a solid mass of NAFION, polyaniline, or polypyrrole, which eliminates the need for spacers 25. Preferably, the metal plates 21 are separated by a peripheral sealant 23 that also encloses the anode and the electrolyte. The plates 22 together are electrically connected together as the cathode of the capacitor and an anode connection is made by a wire passing through the sealant 23. As in other sectional views of embodiments of the invention described here, Figure 8 does not indicate the geometry of the capacitor embodiment in a plan view. That plan view geometry can be any arbitrary shape, e.g., a circle, a rectangle, or a star shape, to fit a particular application. When a solid electrolyte is employed in the capacitor embodiment of Figure 8, the sealant 23 is not necessary, at least in particular applications of the capacitor.

Still another embodiment of a capacitor according to the invention is illustrated in a cross-sectional view in Figure 9. That capacitor includes a unit cell 30'. Multiple unit capacitor cells 30' are stacked on each other to form a capacitor with a cathode assembly at one end and an anode assembly at the other end. The capacitor cell 30' includes a metal foil 22 on one side of which an electrically insulating oxide film 22' is disposed and on the other side of which a porous coating 24, of the type previously described herein including at least one metal oxide is disposed as a cathode. A solid electrolyte 26' is in contact with the porous coating 24 opposite the metal foil 22. The solid electrolyte may be any of the electrolytes previously discussed here, including polypyrrole, NAFION, and polyaniline as well as other suitable solid electrolytes. Most preferably, the metal plate 22 is a thin aluminum

foil having an appropriate configuration to provide a large surface area. For example, a preferred foil is a high etch ratio aluminum foil in which the effective surface area is increased by chemical treatment, for example, by 30 to 50 and even 100 times as compared to the projected area of the foil. Such foils are available from Kawatake Electronics Co., Ltd., Tokyo, Japan. The oxide film on the plate or foil 22' can be readily formed by conventional techniques, such as anodic oxidation of the aluminum film.

In practice, a number of the unit cells 30' are manufactured and then laminated in a stack to form a capacitor body. At the end of the stack terminating an electrically insulating oxide layer 22', a cathode structure including a metal foil 21, such as the high etch ratio aluminum foil, a porous coating 24 forming a cathode disposed on the foil, and a solid electrolyte 26' opposite the aluminum foil 21 of a unit cell 30' is arranged. A cathode lead 2 extends from the aluminum foil 20 of the cathode structure. The electrolyte 26' is in contact with the electrically insulating oxide 22' exposed at the end of the stack of unit cells. At the opposite end of the stack another metal plate or foil 22' bearing an oxide is arranged. The oxide of that anode structure is in contact with the solid electrolyte 26' that is exposed at the end of the stack. An anode lead 4 extends from the aluminum foil 22 of the anode structure. Together, the unit cells and the cathode and anode assemblies form a capacitor that has a variable capacitance depending upon a number of unit cells 30' that are included in the laminated stack.

35

Electrochemical capacitors employing amorphous metal oxide cathodes are described in U. S. Patent 5,621,609 and methods of making those amorphous, non-crystalline, cathode layers are described in

5 U. S. Patent 5,600,535. The two patents are incorporated herein by reference. The increased energy storage capacity of the electrochemical capacitors described in these incorporated patents can be employed in hybrid capacitors according to the invention.

10 In such a hybrid capacitor, the cathode includes at least a layer of an amorphous, i.e., non-crystalline, metal oxide including at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten,

15 manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on a substrate. The substrate may be tantalum, titanium, or an electrically conductive polymer. The porous, amorphous metal oxide may be a particulate material

20 supported by the substrate. As described in U. S. Patent 5,621,609, the amorphous metal oxide may include carbon black. Most preferably, the metal oxide is a thin film that is electrically active and supported by a substrate. The cathode including the

25 porous, amorphous, metal oxide layer is opposed by an anode of the type employed in a wet slug tantalum capacitor, namely, an anode of a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium. As is conventional in such

30 capacitors, the anode metal is covered by an oxide, typically formed by anodic oxidation, of the metal of the anode. Of course, the anode and the cathode must be different metals. Hybrid capacitors employing a cathode having at least a coating of an amorphous metal oxide can, according to the invention,

35

have any of the configurations illustrated in Figures 2-4 and 8 of the present application. The descriptions of those embodiments are incorporated here by reference, without being repeated at length, with the understanding that the cathodes and anodes may be selected from the respective groups of materials discussed above in this paragraph provided the anode metal and cathode metal are different. The electrolytes employed in these capacitors are any of the electrolytes described above. Preferably, the electrolytes employed are liquid electrolytes, such as sulfuric acid. However, capacitors according to the invention and employing an amorphous metal oxide as a cathode may also employ solid electrolytes and have the configuration of the embodiments described above with respect to Figures 5-7 and 9.

The invention has been described with respect to certain preferred embodiments. Various additions and modifications within the spirit of the invention will be apparent to those of skill in the relevant arts. Accordingly, the scope of the invention is limited solely by the following claims.

Claims

1. A capacitor comprising:
a cathode including a porous coating of an
5 amorphous metal oxide of at least one metal selected
from the group consisting of ruthenium, iridium,
nickel, rhodium, rhenium, cobalt, tungsten, manga-
nese, tantalum, molybdenum, lead, titanium, plati-
num, palladium, and osmium;
10 an anode spaced from the porous coating and
including a metal selected from the group consisting
of tantalum, aluminum, niobium, zirconium, and tita-
nium and spaced from the porous coating, wherein the
metals of the anode and cathode are different; and
15 an electrolyte disposed between and in con-
tact with the porous coating and the anode.
2. The capacitor of claim 1 wherein the coat-
ing is supported by a substrate selected from the
20 group consisting of tantalum, titanium, and an elec-
trically conductive polymer.
3. The capacitor of claim 1 wherein the anode
is porous sintered tantalum having an oxide coating.
25
4. The capacitor of claim 1 wherein the porous
coating includes a mixture of at least one amorphous
oxide chosen from the group consisting of oxides of
ruthenium, iridium, nickel, rhodium, platinum, pal-
30 ladium, and osmium and at least one amorphous oxide
chosen from the group consisting of oxides of tanta-
lum, titanium, and zirconium.
5. The capacitor of claim 1 wherein the porous
35 coating includes a mixture of amorphous oxides of

ruthenium and tantalum.

6. The capacitor of claim 1 wherein the cathode comprises two opposed electrically conducting plates, each plate including the porous amorphous metal oxide coating, the porous amorphous metal oxide coatings facing each other, the anode is disposed between and spaced from each of the porous amorphous metal coatings, and the electrolyte is in contact with each of the porous amorphous metal coatings.

7. A capacitor comprising:
a first electrically conductive body;
a cathode comprising a porous coating including an amorphous non-crystalline oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on the first electrically conductive body;
an anode including a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium disposed on a second electrically conductive body opposite and spaced from the first electrically conductive body, wherein the metals of the anode and cathode are different; and
an electrolyte disposed between and in contact with the porous coating and the anode.

30

8. The capacitor of claim 7 wherein the electrolyte is a fluid and including a sealant contacting the electrically conducting plates and containing the electrolyte within the capacitor.

35

9. The capacitor of claim 8 including spacers maintaining a separation between the anode and the porous coatings.

5 10. The capacitor of claim 7 wherein the first electrically conductive body is selected from the group consisting of tantalum, titanium, and an electrically conductive polymer.

10 11. A capacitor cell comprising:
 a first electrically conductive body;
 a cathode comprising a porous coating including an oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel,
15 rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on the first electrically conductive body;
 a second electrically conductive body
20 spaced from the porous coating;
 an anode including a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium disposed on the electrically conductive metal body opposite the first electrically
25 conductive body; and
 an electrolyte disposed between and in contact with the porous coating and the anode.

 12. The capacitor of claim 11 wherein the porous amorphous metal oxide coating includes a mixture of at least one amorphous oxide chosen from the group consisting of amorphous oxides of ruthenium, iridium, nickel, rhodium, platinum, palladium, and osmium and at least one amorphous oxide chosen from
30 the group consisting of amorphous oxides of tanta-
35

lum, titanium, and zirconium.

13. The capacitor of claim 11 wherein the porous coating includes a mixture of oxides of ruthenium and tantalum.

14. The capacitor of claim 11 wherein the first electrically conductive body is selected from the group consisting of tantalum, titanium, and an electrically conductive polymer.

15. A capacitor comprising:
a plurality of capacitor cells, each cell including a first metal body having opposed first and second surfaces;
a cathode comprising a porous coating including an amorphous non-crystalline oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium disposed on the first surface of said first metal body;
an anode including a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium disposed on the second surface of the first metal body, wherein the metals of the anode and cathode are different;
an electrolyte in contact with the cathode opposite the first metal body wherein the plurality of the capacitor cells are disposed in a serial arrangement, the electrolyte of one cell contacting the second surface of each first metal body and a first surface of the first metal body of the next adjacent cell;

a second metal body having first and second opposed surfaces disposed at one end of the serial arrangement and including a cathode comprising a porous coating including an amorphous non-crystalline oxide of at least one metal selected from the group consisting of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, and cathode are different, platinum, palladium, and osmium disposed on one side of the second metal body and opposite an anode of a first metal body in the serial arrangement, but no anode, and functioning as a cathode of the capacitor and an electrolyte disposed between and contacting the porous coating of the second metal body and the anode of the opposite first metal body in the serial arrangement; and

a third metal body having first and second opposed surfaces and disposed at the other end of the serial arrangement and including an anode comprising a metal selected from the group consisting of tantalum, aluminum, niobium, zirconium, and titanium disposed on one side of the third metal body and opposite a porous coating of a first metal body in the serial arrangement, but no porous coating, and functioning as an anode of the capacitor and an electrolyte disposed between and contacting the anode of the third metal body and the porous coating of the opposite first metal body in the serial arrangement.

30

16. The capacitor of claim 15 wherein each anode is a porous sintered tantalum body coated with an oxide of tantalum.

35

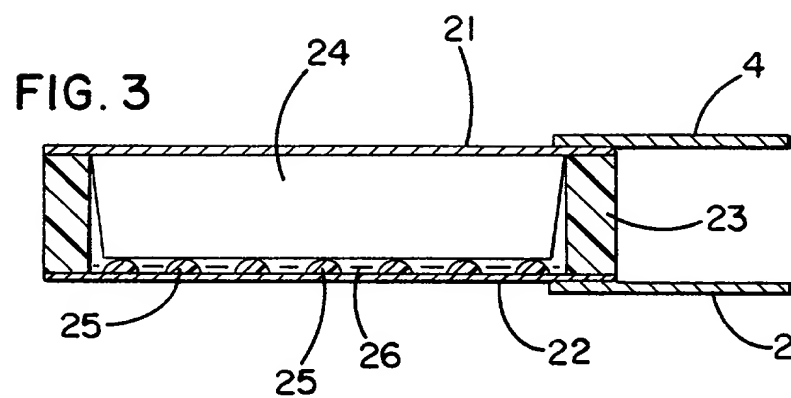
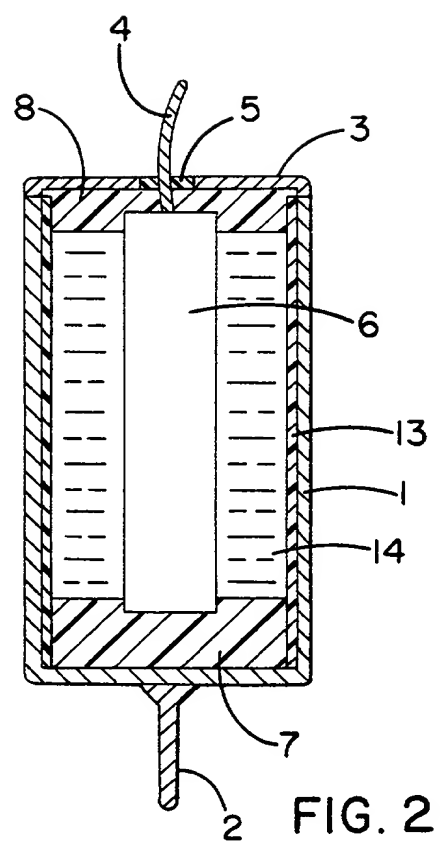
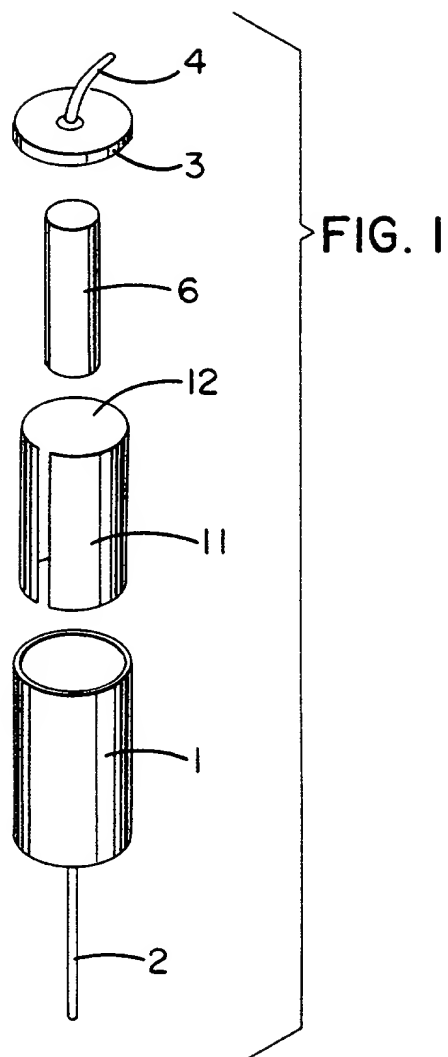
17. The capacitor of claim 15 wherein the porous amorphous metal oxide coating includes a mixture of at least one amorphous metal oxide chosen from the group consisting of oxides of ruthenium,
5 iridium, nickel, rhodium, platinum, palladium, and osmium and at least one amorphous metal oxide chosen from the group consisting of oxides of tantalum, titanium, and zirconium.

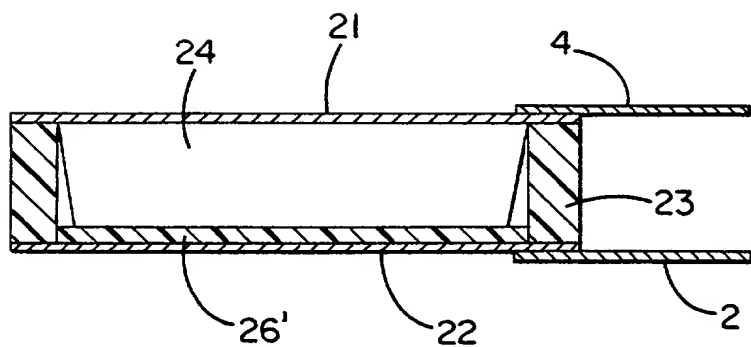
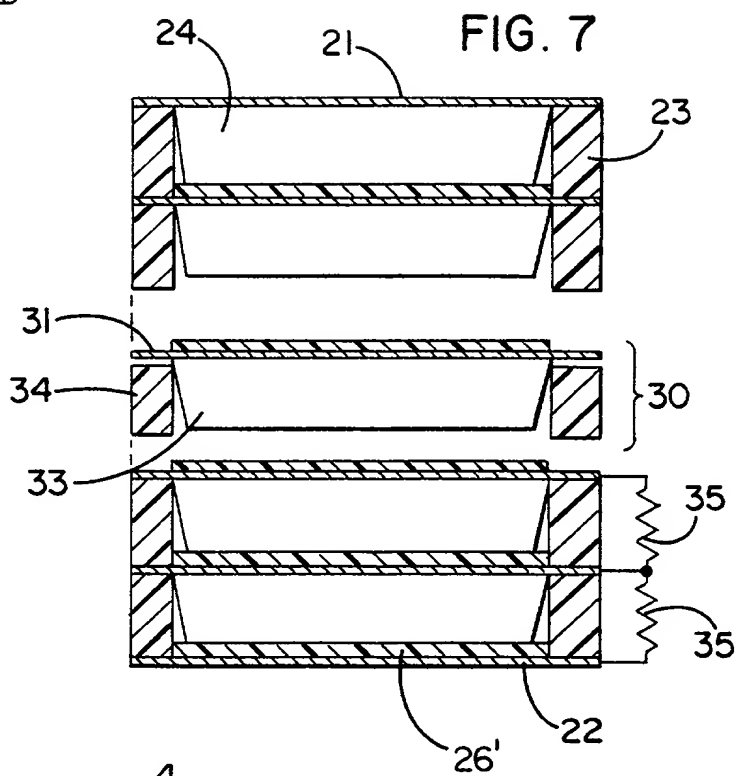
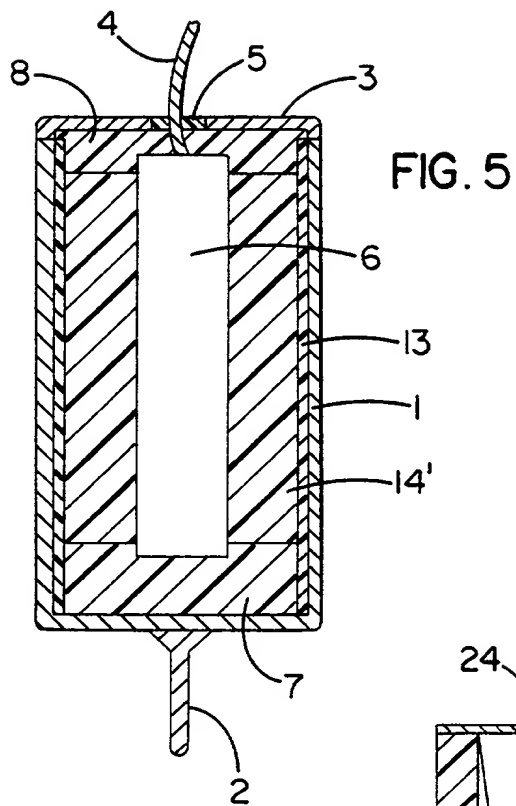
10 18. The capacitor of claim 15 wherein the porous coating includes a mixture of oxides of ruthenium and tantalum.

15 19. The capacitor of claim 15 wherein the first electrically conductive body is selected from the group consisting of tantalum, titanium, and an electrically conductive polymer.

Abstract

A capacitor includes a cathode having a porous coating including an amorphous non-crystalline oxide
5 of one of ruthenium, iridium, nickel, rhodium, rhenium, cobalt, tungsten, manganese, tantalum, molybdenum, lead, titanium, platinum, palladium, and osmium; an anode including a metal selected from the group consisting of tantalum, aluminum, niobium,
10 zirconium, and titanium, provided the cathode and the anode metals are different, spaced from the porous coating; and an electrolyte in contact with the porous coating and the anode.





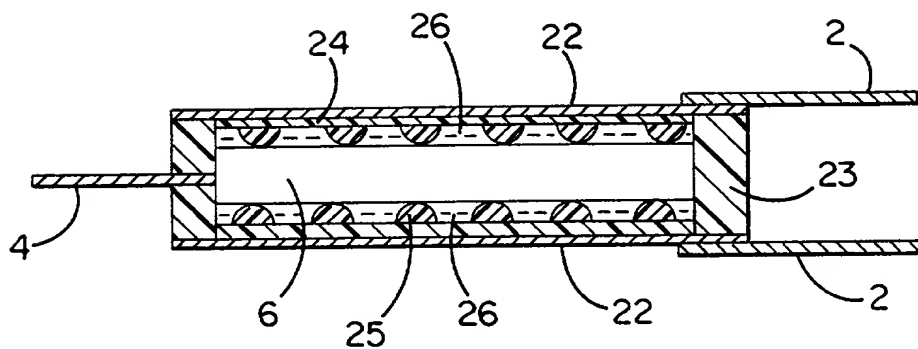


FIG. 8

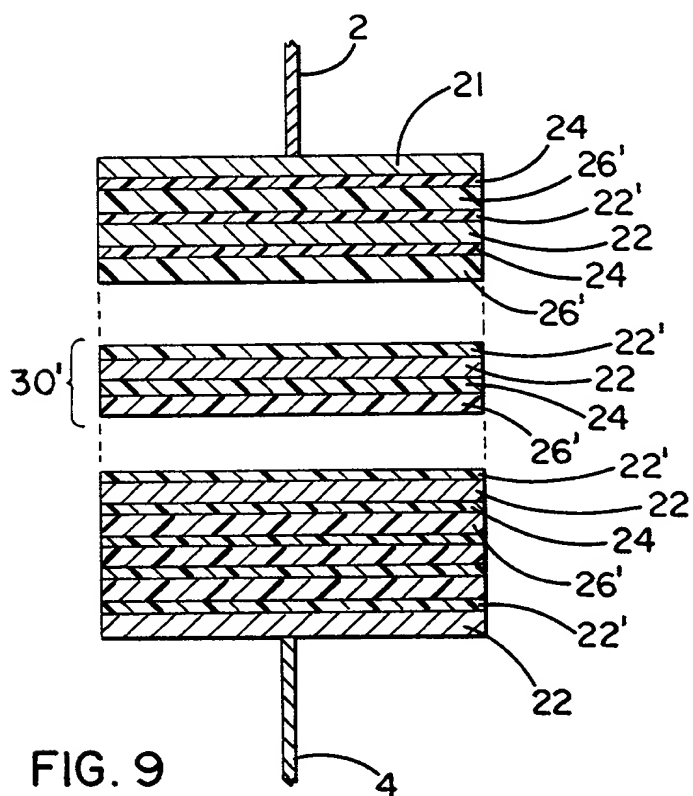


FIG. 9

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address, and citizenship are as stated next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled

CAPACITOR

the specification of which

(Check one) ☒ [X] is attached hereto.
☐ [] was filed on as patent application Serial No. and was amended on .

I have reviewed and understand the contents of the specification identified above, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the examination of the patent application identified above in accordance with 37 CFR §1.56(a).

I claim foreign priority benefits pursuant to 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application from which priority is claimed:

Prior Foreign Application(s)	Priority Claimed
_____ (Country) (Number) (Day/Month/Year Filed)	Yes ____ No ____
_____ (Country) (Number) (Day/Month/Year Filed)	Yes ____ No ____

I claim the benefit pursuant to 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
------------------------	-------------

Application Serial No.	Filing Date
------------------------	-------------

I claim the benefit pursuant to 35 U.S.C. §120 of any United States patent application(s) listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior United States patent application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application:

<u>08/635,696</u>	<u>April 22, 1996</u>	<u>Patented</u>
Application Serial No.	Filing Date	Status (Pat./Pend./Aban.)
<u>08/514,145</u>	<u>August 11, 1995</u>	<u>Patented</u>
Application Serial No.	Filing Date	Status (Pat./Pend./Aban.)
<u>08/282,229</u>	<u>July 29, 1994</u>	<u>Patented</u>
Application Serial No.	Filing Date	Status (Pat./Pend./Aban.)
<u>08/035,224</u>	<u>March 22, 1993</u>	<u>Patented</u>
Application Serial No.	Filing Date	Status (Pat./Pend./Aban.)

I appoint:

John M. Belz, Reg. 30359
Jeffrey A. Wyand, Reg. 29458
Jeremy M. Jay, Reg. 33587
Michael H. Tobias, Reg. 32948

Gregory A. Hunt, Reg. 41085
Patrick R. Jewik, Reg. 40456
Joseph S. Ostroff, Reg. 39321

my attorneys with full power of substitution and revocation to prosecute my application for Letters Patent identified above and to transact all business in the Patent and Trademark Office connected with that patent application.

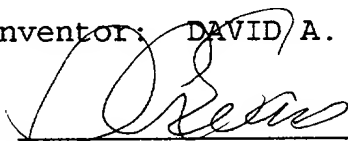
I direct that correspondence concerning this application be sent to LEYDIG, VOIT & MAYER, Suite 300, 700 Thirteenth Street, N. W., Washington, D. C. 20005, telephone (202) 737-6770.

I declare that all statements made here based on my own knowledge are true and that all statements made on information and belief are

believed to be true, and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the patent application identified above or any patent issuing from the patent application identified above.

Full name of first inventor: DAVID A. EVANS

Inventor's signature



Date

3/31/98

Residence:

Seekonk, Massachusetts

Citizenship:

United States of America

Post Office Address:

282 Lake Street

Seekonk, Massachusetts 02771

Applicant or Patentee: DAVID A. EVANS
Serial or Patent No. _____
Filed or Issued: _____
For: CAPACITOR

Attorney Docket
No. 72869/EVANS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR §1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to
act on behalf of the concern identified below:

NAME OF CONCERN EVANS CAPACITOR COMPANY INCORPORATED
ADDRESS OF CONCERN 33 Eastern Avenue
East Providence, Rhode Island -2014-4158

I declare that the small business concern identified above qualifies as a small business concern as defined in 13 CFR §121.1301-121.1305, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the number of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled CAPACITOR by inventor DAVID A. EVANS described in

- ☒ the specification filed herewith.
- ☐ patent application serial no. , filed .
- ☐ patent no. UNFILED, issued .

If the rights held by the small business concern identified above are not exclusive, each individual, concern, or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR §1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR §1.9(d), or a nonprofit organization under 37 CFR §1.9(e). *NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

NAME _____
ADDRESS _____

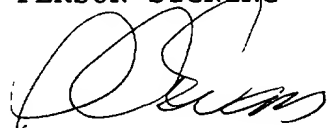
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file in this patent application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.
(37 CFR §1.28(b))

I declare that all statements made here and based on my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING DAVID A. EVANS
TITLE OF PERSON OTHER THAN OWNER President
ADDRESS OF PERSON SIGNING 282 Lake Street
Seekonk, Massachusetts 02771

SIGNATURE



DATE

3/31/98